Effect of Vertical Shear in the Zonal Wind on Equatorial Electrojet 1 Sidebands: An Observational Perspective Using Swarm and ICON Data 2

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14 **Key Points:**

- 15 • First observational evidence of effect of zonal wind gradients at ~130-180 km on lowlatitude Equatorial Electro-Jet sideband currents. 16
- The altitude variation of the zonal wind gradient modulates the latitudinal variation of 17 • EEJ sideband currents. 18
- 19 With increasing westward wind shear, the strength of low-latitude Equatorial Electro-• Jet sideband current increases. 20

Citation:

Sreelakshmi, J., Maute, A., Richmond, A. D., Vichare, G., Harding, B. J., & Alken, P. (2024). Effect of vertical shear in the zonal wind on equatorial electrojet sidebands: An observational perspective using Swarm and ICON data. Journal of Geophysical Research: Space Physics, 129(10), e2024JA032678. DOI: https://doi.org/10.1029/2024JA032678

21 Abstract

- The wind dynamo in the ionosphere leads to differential motion of ions and electrons, which in turn sets up electric fields and currents. Observations show that daytime lower thermospheric horizontal winds have large vertical gradients. Numerical modelling conducted approximately 50 years ago demonstrated that the zonal wind shears in the ~130-180 km altitude range can
- 26 generate off-equatorial relative minima (dips) in the daytime height-integrated eastward current
- 27 density, appearing as westward sidebands north and south of the equatorial electrojet (EEJ).
- 28 This study observationally confirms this connection for the first time by combining Ionospheric
- 29 CONnection explorer zonal wind profiles and Swarm latitudinal zonal currents. We 30 demonstrate observationally that the magnitude of the EEJ sideband current is proportional to
- the strength of westward turning winds with altitude in the Pedersen conductivity dominated
- 32 region. Additional numerical experiments explain the importance of wind shear in different
- 33 altitude regions in generating the sideband current. This study contributes to the better
- 34 understanding of the neutral wind effect on the local current generation.

35 Plain Language Summary

- 36 The winds in the E-region ionosphere push the plasma in the presence of Earth's magnetic field,
- 37 causing ions and electrons to move separately, producing electric current. The low-latitude
- 38 ionospheric current system consists of an intense eastward current at the magnetic equator
- (called Equatorial Electro-Jet (EEJ)) and off-equatorial reduced eastward or relative westward
 currents (EEJ sideband currents) in both hemispheres. Modelling studies have shown that the
- 40 currents (EEJ sideband currents) in both nemispheres. Modeling studies have shown that the 41 altitudinal gradient of the zonal wind is related to the strength of the EEJ sideband currents.
- 41 However, observational studies to validate these results have been missing to this date. This
- 43 study utilizes simultaneous observations from Ionospheric CONnection explorer and Swarm
- satellites to provide insights on the connection between low-latitude winds and currents, which
- 45 will improve our understanding of the causes of daytime ionospheric variability.

46 **1 Introduction**

47 The equatorial electrojet (EEJ) is an enhancement of the eastward current in the dayside E-48 region at the magnetic equator, considerably stronger than the normal large-scale daytime low-49 latitude eastward ionospheric currents. Studies of the latitude structure of EEJ magnetic 50 perturbations, using magnetometer chains on the ground (Fambitakoye et al., 1976; 51 Fambitakoye & Mayaud, 1976a, 1976b) or satellite magnetometers at low-Earth Orbiting 52 (LEO) altitudes (Cain & Sweeney, 1973; Lühr et al., 2004; Zhou et al., 2018), have found that 53 the height-integrated eastward current density not only peaks at the magnetic equator but also frequently shows local minima in strength around roughly \pm 5° magnetic latitude. The present 54 study investigates the source of these current reductions, which we shall refer to as EEJ 55 56 sideband currents.

- Richmond (1973) developed a physical model of EEJ and demonstrated that the effect of
 height-varying winds is largest on the currents at off-equatorial latitudes. Using this model,
- 59 Fambitakoye et al., (1976), abbreviated by F76 hereafter, demonstrated that the zonal wind 60 shear in the altitude regions where Pedersen conductivity dominates (~130 to 180 km) is
- 61 responsible for the reduction in eastward currents at low-latitudes. Reddy & Devasia, (1981)
- 62 also found that the vertical shears of the zonal winds can generate currents which dominate at
- 63 the off-equatorial latitudes. Fang et al., (2008) used TIEGCM simulations to examine the
- 64 influence of neutral winds in different altitude regions on the equatorial upward $E \times B$ drift and
- 65 the ground magnetic perturbations. Their Figure 4 shows a schematic representation of the
- 66 study done by Fambitakoye et al., (1976).
- 67 Until recently, there were no simultaneous observations of wind in the lower thermospheric
- altitudes (~90 to 200 km) along with the height-integrated current density to observationally
- 69 evaluate the effect of the height variation of the zonal wind on the sideband currents as

suggested by F76. With the recent availability of wind observations from the MIGHTI (Michelson Interferometer for Global High-Resolution Thermospheric Imaging, Englert et al., 2017) instrument on the ICON (Ionospheric CONnection explorer, Immel et al., 2018) spacecraft, together with low-latitude current estimates from the Swarm spacecraft (Friis-Christensen et al., 2006), this hypothesis can now be tested. That is the primary purpose of this paper. After almost 50 years, there is a unique opportunity to inspect the effect of zonal wind shears on low-latitude sideband currents using the wind measurements from ICON and EEJ

77 derived from observations by the Swarm satellites.

78 In order to understand how the winds generate currents in the ionosphere in general, a brief 79 overview of the ionospheric electrodynamics is given. In the ionospheric E region, the 80 thermospheric neutral winds push the ionospheric plasma in the presence of Earth's magnetic 81 field, causing differential motion of ions and electrons, which results in wind dynamo currents. 82 The current system is divergence-free and hence polarization electric fields and currents are 83 established. This current system produced by the dynamo action of a non-storm-time wind 84 system is called Solar quiet currents (Sq) flowing in the low-to-mid latitudes and along geomagnetic-field lines between the southern and northern magnetic conjugate points. 85

86 The ionospheric current density **J** can be expressed using Ohm's Law as follows:

87 $\mathbf{I} = \hat{\sigma} \cdot (\mathbf{E} + \mathbf{U} \times \mathbf{B})$ (1)88 Here, $\hat{\sigma}$ is the conductivity tensor, **E** is the electric field, **U** is the neutral wind, and **B** is the 89 ambient magnetic field. The three different conductivities are the parallel (or direct) (σ_{\parallel}), the 90 Pedersen (σ_P), and the Hall conductivity (σ_H). Parallel conductivity is parallel to **B** and 91 Pedersen and Hall conductivities are perpendicular to **B** and with currents flowing parallel and 92 perpendicular to the electric field, respectively. We assume equipotential field lines since the 93 parallel conductivity is several orders of magnitude greater than the perpendicular 94 conductivities. Accordingly, ionospheric electric fields and currents are strongly organized by 95 the geomagnetic field. During sunlit hours the Pedersen and Hall conductivities are largest at altitudes of 90-200 km, the so-called "dynamo region," where significant current can flow 96 97 perpendicular to **B**.

98 At low latitudes, the zonal component of the electric current in ionosphere mostly flows 99 eastward during daylit hours. At the magnetic equator, the east-west component of the 100 equatorial electric field (EEF) and the horizontal geometry of magnetic fields, along with the 101 non-conducting atmosphere below ~80 km, leads to an effective zonal ionospheric 102 conductivity, known as Cowling conductivity (Cowling effect, Chapman, 1956). This results 103 in EEJ, which generally peaks around ~105 km altitude. The EEJ is accompanied by a 104 northward magnetic perturbation at the ground and a southward perturbation at LEO spacecraft 105 altitudes.

We want to note that it is a long-standing debate whether EEJ should be considered part of Sq or not (Onwumechili, 1992; Stening, 1995; Vichare et al., 2016; Yamazaki & Maute, 2017), but this distinction is not discussed in the present study. In this study we focus on the offequatorial eastward current (i.e. ~5° off the magnetic equator) which can be reduced or even reversed (as shown by simulations, Anandarao and Raghavarao, 1987; Richmond, 1973) with day-to-day variations similar to the EEJ (Jadhav et al., 2002; Thomas et al., 2017; Zhou et al.,

112 2018).

113 In observations, Sq and EEJ current are intermixed and therefore need to be separated if

studying one of them. Lühr et al., (2004) used a second-degree spherical harmonic polynomial

115 to separate the Sq current signal from the EEJ. The resulting magnetic signal exhibits shoulders

116 on either side of the negative scalar magnetic field associated with the EEJ current itself. It

should be noted here that the negative deflection is only true for magnetic records above the E

- 118 region currents. When inverted to zonal current, these shoulders can be seen as relative
- 119 westward current dips in the sheet current density (see Figure 3 of Lühr et al., 2004).

120 There are some satellite-based studies on the sideband currents seen at the flanks of the 121 magnetic equator. However, to our knowledge, there is only one ground-based study conducted by Fambitakoye & Mayaud (1976a, 1976b) in this domain as it is difficult to establish and 122 maintain a sufficiently dense chain of ground magnetometers. The ground-based magnetometer 123 network has become denser over the past five decades. However, the spatial coverage provided 124 125 by LEO satellites is unparalleled compared to ground observatories. Satellites can cover every 126 latitude in the low-to-equatorial regions, which is crucial for estimating sideband currents. Cain 127 and Sweeney (1973) found high 'shoulders' at off-equatorial latitudes in the residual of total 128 magnetic field observations made by the POGO satellite, indicating the presence of sideband 129 currents. Lühr et al., (2004) deduced the horizontal distribution of height-integrated current 130 densities (1) from the CHAMP satellite residual magnetic field measurements, parameterizing the meridional current profile by 81 zonally oriented line currents around the EEJ peak. They 131 132 found that the sideband currents are a common feature peaking on average at $\pm 5^{\circ}$ magnetic 133 latitude, although they also found that the sideband amplitude is sensitive to how diamagnetic 134 plasma effects are calculated. Note that Maute & Richmond (2017) and Sreelakshmi & Vichare 135 (2020) found that correcting only for magnetic perturbations due to pressure-gradient currents (diamagnetic effects) without including effects of gravity-driven currents may increase rather 136 137 than decrease the errors. Recently, Zhou et al., (2018) comprehensively characterized the lowlatitude sideband currents using five years of CHAMP magnetic data. They deduced the 138 139 average sideband current characteristics (e.g., intensity, the latitude of peak) and its 140 dependencies on local time, season, and solar activity. The reversal of EEJ either due to a reversal of EEF or due to zonal winds is commonly known 141 142 as Counter Electrojet or CEJ. Yamazaki et al., (2021) used simultaneous observation of EEJ

magnitude derived from magnetic observations by the Swarm satellite missions and horizontal

144 winds derived from ICON/MIGHTI observations to inspect the effect of local zonal winds on

EEJ and CEJ. Their results suggest that the vertical profile of the equatorial zonal wind can
modulate the equatorial electric field and may lead to the reversal of the EEJ. On average during
CEJ events, winds are found to be eastward and westward around ~110 km and ~140 km,

148 respectively, while the reverse is true for EEJ.

149 Thermospheric winds vary with height, latitude, longitude, and time. Solar heating produces 150 large-scale daily variations in the winds, with amplitudes that tend to increase with altitude above approximately 120 km, according to modeling studies (Forbes, 2007). Upward-151 152 propagating tides from the lower atmosphere strongly influence the winds below 120 km 153 (Hagan & Forbes, 2002) but tend to dissipate at higher altitudes. These tides can vary with 154 latitude more rapidly than the solar-produced winds above 130 km, but generally do not vary 155 with latitude as rapidly as the currents comprising the Equatorial Electrojet (EEJ) and its sidebands. Gravity waves can have latitude scales comparable to the EEJ and its sidebands, but 156 these waves are irregular and cannot produce consistent structures in the ionospheric currents. 157 158 Therefore, it is unlikely that the latitude structure of the winds can be directly responsible for the presence of sideband currents. However, the modeling study by F76 showed that vertical 159 shear of the zonal wind, especially in the 130-180 km altitude range, can produce sidebands 160 similar to those observed by magnetometers, without requiring latitude variations of the wind. 161

162 Therefore, we focus on the effects of vertical structure, which is better observed.

163 Observations clearly show that neutral winds in the thermosphere are highly variable and have

large vertical shears (Shepherd et al., 1993, 2012; England et al., 2022). Since the winds in the
 lower ionosphere (100 to 200 km) are sparsely sampled, the present study could inform

166 estimates of the wind from magnetometer measurements, which are more dense than wind

167 measurements (Alken et al., 2008). In addition, Richmond's (1973) model of EEJ is used in 168 this study to investigate the importance of wind shear in different altitudinal regions using

169 ICON/MIGHTI winds and Swarm EEF.

170 Section 2 outlines the data on low-latitude currents from Swarm, zonal winds observed by 171 ICON, and the EEJ model utilized in this study. It also covers the data selection process, methodology, and the associated errors and uncertainties. Section 3 features scatter plots with 172 gradient of zonal winds with sideband currents, both for individual measurements and for the 173 174 mean values of each variable based on data grouped according to either variable. The section 175 also includes a discussion with two linear regression lines with one of the variable as the 176 independent variable. The impact of the altitudinal dependence of the zonal wind gradient on 177 sideband currents is discussed in Section 4, and conclusions are presented in Section 5.

178 **2 Data and Model**

179 **2.1 Low-latitude Currents Observed by the Swarm Satellite Mission**

180 Swarm (Friis-Christensen et al., 2006; Olsen et al., 2013) is a constellation of three polar LEO satellites (A, B, and C) launched on 22nd November 2013. All three satellites have an inclination 181 182 of approximately ~87°. Initially, Swarm A and C are flying side-by-side with a longitudinal 183 difference of ~1.4° at the equator at an altitude of ~450 km, while Swarm B is orbiting at a higher altitude of ~510 km. We use the Swarm level-2 EEF data product (Alken et al., 2013b), 184 185 which gives the EEJ variations and eastward EEF estimates. Detailed information on the retrieval of EEJ from magnetic field measurements can be found in Alken (2020). The global 186 187 Sq and unmodeled magnetospheric field are assumed to be represented by a magnetic scalar potential defined in a spherical coordinate system, which is fitted orbit-by-orbit to data between 188 189 $\pm(12^{\circ}-45^{\circ})$ quasi-dipole (QD) latitude and then subtracted from the residual magnetic field. 190 Here the residual magnetic field represents the satellite magnetic field measurements with 191 internal (core and lithospheric) and magnetospheric contributions subtracted using the 192 CHAOS-7.15 model (Finlay et al., 2020). No corrections are made for F-region currents 193 associated with gravitational and pressure-gradient forces on the plasma, unlike the study of 194 Lühr et al. (2004) that corrected for diamagnetic effects associated with plasma pressure 195 gradients. Further, the remaining magnetic field perturbations are inverted to height-integrated 196 magnetic-eastward current densities as described in Alken, (2020).

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2.2 Zonal Winds Observed by ICON/MIGHTI

ICON (Immel et al., 2018) is a low-latitude satellite launched on 11th October 2019 at an 198 199 altitude of ~600 km. The satellite orbits at an inclination of 27°, with a latitudinal coverage from about 12°S to 40°N. As ICON is a low-inclination satellite, it flies through all local times 200 201 during every orbit. The MIGHTI (Englert et al., 2023) instrument onboard ICON measures 202 Doppler shifts in naturally occurring atomic oxygen airglow emissions to estimate the neutral 203 wind velocity. We use the version 4 of Level 2.2 data product derived from the green-line 204 emission at 557.7 nm wavelength (Harding et al., 2017, 2023). For this study, we use 205 geographic zonal wind observations in the height range of 95–200 km. Harding et al., (2021) 206 validated the green-line wind data against ground meteor radar data.

207 **2.3 Data S**

2.3 Data Selection and Methodology

We select coincident observations of Swarm and ICON/MIGHTI during geomagnetically quiet days with (a) Kp <=3+ and between January 2020 and February 2022. Figure 1a and 1b display the 10.7 cm solar radio flux (Tapping, 2013) and Kp index (Matzka et al., 2021) for the study period. Since solar flux was below 100 sfu for most days, it is not a criterion for our data selection. The geomagnetic activity is quite low for most of the time period.

In order to decide whether segments of orbits from the spacecraft are sufficiently close in space

and time to constitute a conjunction we use the central times and locations of these segments.

The other selection criteria are as follows: (b) ICON wind measurements should be within ± 30 min of Swarm equatorial measurements, and (c) the longitude of ICON wind measurements

should be within $\pm 10^{\circ}$ of the longitude of the Swarm satellite at the magnetic equatorial

crossing. Manoj et al. (2006) reported that beyond 15° longitudinal separation, the correlation

- 219 between EEJ intensities at the two locations is statistically insignificant. (d) The wind is
- 220 measured within $\pm 5^{\circ}$ of the magnetic equator. (e) Only high quality wind data is chosen (wind
- quality factor = 1). (f) Data is chosen between 9-15 local time (LT). Even though there are local
 time and longitudinal variations in Equatorial Electrojet due to geomagnetic main field and
- neutral winds (Alken et al. 2013a, Lühr et al., 2021, Yamazaki et al. 2017), the local time
- 224 window is chosen wide enough to have adequate number of conjunctions. If multiple wind
- profiles meet all the criteria (a–f) for a given EEJ observation, we use the average of the wind
- profiles.
- We select EEJ data with height-integrated current density (I_{eq}) at the magnetic equator, of $I_{eq} \ge 25 \text{ mA/m}$, to avoid the influence of weak EEJ which does not have well developed sideband currents (Thomas et al., 2017). Applying all the data selection criteria, we obtained 572, 520, and 549 conjunctions between ICON and Swarm A, B, and C, respectively. It should be noted that the westward sideband currents are sensitive to the details of the procedure for Sq removal. Therefore, the following provides a detailed description of the method used to
- 233 derive the zonal current based on Swarm magnetic field data.
- Figure 2a illustrates the determination of the eastward current density (EEJ) from the residual
- magnetic field obtained from Swarm A on 01 December 2020, at 22:47 UT (longitude=196.74°
- E, LT=11:54). The solid blue line represents the residual magnetic field (which is the raw
 measurement minus the magnetic fields from core, lithosphere, and symmetric magnetospheric
- fields, as described above), while the contribution from Sq and unmodeled magnetospheric ring current fields (referred to as Sq') is depicted by the blue dashed line. The latter is subtracted from the former to obtain the Residual' shown as a blue dotted line. The magnetic field contribution from Sq currents and unmodeled magnetospheric currents is estimated by fitting a model to scalar magnetic residuals, with Sq field and its induced counterparts represented by a magnetic scalar potential for sources internal to the satellite orbit, and an external scalar
- 244 potential defined for magnetospheric currents.
- The height-integrated EEJ current density derived from the Residual' (magnetic field after subtracting Sq' contributions from the residual magnetic field) is also shown in red line in the figure. The minima I_{off} of the current density within \pm (3-9)° of the magnetic equator represent the sideband currents (Zhou et al., 2018). We neglect any data with an absolute difference in northern and southern value of I_{off} greater than 15 mA/m.
- Figure 2b shows the zonal wind corresponding to the current example of Figure 2a. A leastsquare fitted line for the zonal wind velocities between 130 and 180 km is shown to visualize the wind behavior minimizing the influence of variability and noise. In our analysis of Swarm-ICON/MIGHTI data pairs we refer to the slope of this line as "Gradient in zonal wind velocity" (ΔU_h) . One feature of interest to this study is the fact that the wind tends to be increasingly westward with increasing height above 120 km. According to the modelling results of F76, a
- westward with increasing height above 120 km. According to the modelling results of F76, a wind that is increasingly westward above 135 km will generate height-integrated eastward
- 257 currents that are stronger 10° away from the magnetic equator compared to 5° away from the
- equator. This contributes to the creation of a sideband-like feature in the latitudinal current
- profile, when added to the enhanced EEJ current driven by the EEF that F76 shows to lie mostly
 equatorward of 5°. The results of F76 also indicate that winds below 135 km have little effect
- equatorward of 5°. The results of F76 also indicate that winds below 135 km have little effect on the difference of current between 5° and 10° magnetic latitude and therefore are not expected
- to have much influence on the strength of sideband currents. However, it should be noted that
- these low-altitude winds do affect the difference of current between 10° and the equator and
- therefore can influence the EEJ peak current relative to the background Sq current level.
- 265 **2.4 Data Errors and Uncertainties**
- Instrumental errors are relatively small, both for the Swarm magnetometer (< 0.3 nT (Friis-Christensen et al., 2006)) and for the ICON/MIGHTI wind data (<10 ms⁻¹ (Harding et al.,

268 2017)). However, there are considerable uncertainties in estimating sideband current strengths 269 from the magnetometer data and in using the ICON/MIGHTI observations to estimate the 270 magnetic-eastward wind at the precise time and location of the paired Swarm data. These 271 uncertainties can be considered types of data errors or measurement errors when further 272 analysing the paired conjunction data and their correlation.

273 One uncertainty is that the presence and magnitude of sideband currents may be overestimated 274 by the fitting procedure. For instance, the fact that the Residual' is forced toward 0 nT at low 275 latitude region poleward of $\pm 12^{\circ}$ QD latitude (see Figure 2a) means that any negative magnetic 276 field perturbation produced there by the eastward EEJ must be offset by positive contributions 277 from sideband currents on either side of the eastward EEJ. Furthermore, magnetic perturbations 278 on the horizontal scale of the sideband currents (corresponding roughly to a horizontal 279 wavelength of ~10° or ~1200 km) decay with height above the main current layer at ~110 km altitude. The decay follows an exponential distance scale of ~200 km, calculated as 1200 280 281 $km/2\pi$. Considering the Swarm satellite's orbit at an altitude of approximately 340 km above 282 the EEJ, the magnetic perturbation diminishes to approximately 1/6 compared to its intensity just above the E-region currents. Consequently, it becomes evident that other factors, such as 283 284 unresolved or unmodeled sources contributing to the residual magnetic field, may introduce 285 signals comparable in magnitude to the sideband signal. Among the unmodeled sources are the 286 effects of F-region pressure-gradient and gravitational currents. Therefore, accurately extracting the relatively weak effects of sideband currents from the Swarm magnetic data is 287 288 subject to considerable uncertainty. It is difficult to quantify the magnitudes of this uncertainty 289 and the potential bias in the magnitude of the sideband currents.

290 Because the times and locations of ICON/MIGHTI wind data differ somewhat from the times 291 and locations of the Swarm observations, the wind observations differ from the true winds at 292 the Swarm locations. Currently, we lack good quantitative information about the variability of 293 the winds on the temporal and spatial scales of our data-selection procedure. However the 294 difference between the observed and true winds at Swarm locations is likely a non-negligible 295 fraction of our selected wind data. Additional wind error comes from our use of the geographic 296 zonal wind to approximate the magnetic-zonal wind which is also affected by geographic 297 meridional winds when the geomagnetic declination is significant.

2.5 EEJ model

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299 Richmond, (1973) describes a physical model of EEJ, which includes neutral winds and two-300 stream instability. The main assumptions in this model are that 1. Longitudinal gradients of all 301 quantities are negligible, which is a reasonable approximation around \pm 3 hours from noon 302 time, 2. Latitudinal gradients of the conductivities and winds are negligible, 3. Electric fields 303 do not affect the electron density, and 4. The electrojet is symmetric about the magnetic equator. The model assumes a flat Earth with parabolic geomagnetic field lines and uniform 304 305 field strength, for which the tangent of the inclination angle is twice the tangent of the magnetic 306 latitude. Given a zonal neutral wind profile, a zonal electric field, and conductivity profiles, the model computes the latitude distribution of height-integrated current, from which I_{off} can be 307 308 derived. See Richmond (1972, 1973) for further details on the model.

309 We use the EEJ model in the following to verify that the model can reproduce the observed 310 behaviour and to understand how the zonal wind shears at different altitudes contribute to the 311 generation of Ioff. The wind profiles and EEF obtained from Swarm A and ICON conjunctions for the three different categories are used as input into the EEJ model together with the average 312 313 solar flux. The currents are estimated during equinox months at 12 LT. The model will produce 314 similar results at solstice conditions since the model does not account for the latitudinal 315 gradients in the wind and conductivity. Above 200 km, we assume that the neutral wind is constant with altitude. We found that the exact variation above 200 km does not influence the 316

 I_{off} magnitude. This could be due to either the underestimation of F-region conductivities or

- 318 the non-inclusion of latitude variations of the conductivity (and wind) in the lower F region in
- the model.
- 320 To be able to compare the model results with the Swarm EEJ data, which has the Sq baseline
- 321 removed, we subtract a linear baseline from the simulated height-integrated current densities,
- 322 which is obtained by least-square fitting a line to values between $\pm (7^{\circ} \text{ to} 10^{\circ})$ dip latitude. This
- 323 procedure is different from that of Swarm data since (1) in the Swarm magnetic data the Sq
- variation is removed while in the model, a baseline correction in current density is applied, (2)
- the data is fitted using spherical harmonics versus a linear fit in the model, which is appropriate for the baseline fit, and (3) the fitting procedure on data is between 12° and 45° QD latitude
- while the model uses values between 7° and 10°.



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Figure 1: (a) Solar activity index, 10.7 cm solar flux in sfu (solar flux units), (b) Kp index, (c) longitude of Swarm A and C in conjunction with ICON/MIGHTI, and (d) longitude of Swarm B in conjunction with ICON/MIGHTI during the period 1st January 2020 to 11th February 2022. Different symbols in (c) and (d) indicate different categories C1-C3 based on the minimum sideband current densities as described in the text, and the color indicates the local time in hours.



335 336 Figure 2: (a) Left Y-axis shows the residual magnetic field (solid blue line), Sq': magnetic field 337 due to Sq and unmodeled magnetospheric currents (blue dashed line) and Residual' (blue dotted 338 line): representing the difference between residual magnetic field and Sq'. The right Y-axis 339 illustrates the Equatorial Electrojet in mA/m (red line) (b) Altitudinal variation of zonal wind 340 velocity in m/s, with the black line representing the least-squares line fitted to wind velocity between the altitudes 130 and 180 km. 341

342 **Relating Gradients in Zonal Winds with Sideband Currents** 3 343

3.1 Scatterplot

Figure 3 shows the sideband current strength I_{off} and wind gradient ΔU_h for every individual 344 pair of conjunctions between Swarm A and ICON for the selected time period. There is 345 346 considerable scatter, owing to (1) potential measurement errors, especially of the wind; (2) 347 difficulties in separating the signature of ionospheric currents from other current sources; (3) 348 uncertainty in removing Sq currents from EEJ, (4) spatial and temporal variations of the wind 349 and current over the windows used to select conjunctions, and (5) difficulty in determining the 350 gradient of the highly-varying altitudinal profile of winds.

351 Nevertheless, the correlation coefficient of 0.31 is non-negligible. Because the statistical 352 distribution of data points does not appear to represent a normal (Gaussian) distribution, 353 standard measures of statistical significance for this correlation coefficient are not valid. However, the fact that a calculated p value using standard statistical techniques (Witte & Witte, 354 2017) is extremely small (1.79×10^{-12}) suggests that the correlation is robust. A linear 355 356 regression line fitted to these data results in a model that statistically can explain 9.61% of the 357 sideband current strength in terms of the wind gradient. As we discuss later, when the data are 358 binned and averaged a larger explained percentage is found for the averaged data.

359 It is not easy to find an unbiased regression line that might linearly relate I_{off} and ΔU_h , because both of the correlated variables have considerable uncertainty, and because the magnitudes of 360 361 these uncertainties are not well quantified. If we were to treat one of the variables as 362 independent and without error and then calculate a least-square regression line with the other variable, the resultant slope of the regression line would be underestimated (Riggs et al., 1978). 363 364 The slope of the linear regression line when ΔU_h is treated as the independent variable (red dashed line) is ~2.3 As/m and that using I_{off} as the independent variable (yellow line) is ~24.3 365 366 As/m. This substantial difference in slopes can be attributed to the impact of measurement 367 errors in the independent variable (known as regression dilution). When ΔU_h is the independent variable, the observed slope is biased towards zero due to the increased variability introduced 368 369 by measurement errors in ΔU_h . This leads to an underestimation of the true relationship

370 between ΔU_h and I_{off} . Conversely, when I_{off} is treated as the independent variable, the 371 regression model attempts to account for the variability in I_{off}, resulting in a steeper slope. This 372 occurs because the measurement errors in Ioff cause the regression model to overcompensate, leading to an overestimation of the relationship between the variables. Thus, the observed 373 374 disparity in the slopes highlights the critical influence of measurement errors on regression 375 estimates, emphasizing the need for careful consideration of these errors. If the ratio of error variances of the two variables were known, it would be possible to calculate an unbiased 376 377 Deming regression line (Deming, 1964), but we do not yet know the relative error variances. 378 Instead, we explore possible quantitative relations between the winds and sideband currents by 379 analysing the conjunction data in two different ways: organizing either in terms of I_{off} or in 380 terms of ΔU_h .



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Figure 3: Scatter plot of gradient in ICON/MIGHTI zonal wind velocity with average of I_{off} from Swarm A in northern and southern hemisphere. The correlation coefficient CC is mentioned. Red dashed line indicates linear regression line with ΔU_h as independent variable and yellow line indicate that with I_{off} as independent variable.

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3.2 Grouping Data According to Sideband Current Strength

First, we divided the data into three categories based on the minimum height-integrated sideband current density, I_{off} defined by the following conditions for I_{off} in both hemispheres:

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Category 1 (C1): $I_{off} < = -30 \text{ mA/m}$

- 390 Category 2 (C2): $-30 < I_{off} <= -5 \text{ mA/m}$
- 391 Category 3 (C3): $I_{off} > -5 \text{ mA/m}$.

In C1 and C3, we have 20 and 23 conjunctions respectively, for Swarm A with ICON (Figure 392 393 1c). Swarm B and C (Figure 1(c-d)) have similar numbers of conjunctions in C1 (24 & 24, respectively) and C3 (17& 33, respectively). Meanwhile, in C2, the number of conjunctions 394 395 for the Swarm satellites A, B, and C with ICON is 529, 479, and 492, respectively. The number 396 of conjunctions in category 2 is large compared to the other two categories. Zhou et al., (2018) using CHAMP satellite data found that the sideband currents show a certain dependence on 397 398 longitude with a variation of 33% between Ioff at different longitudes. However, the Figure 1d 399 shows that the seasonal and longitudinal distribution of the conjunctions is insufficient to be 400 considered as an additional criterion in our analysis.

- 401 The first two columns of Figure 4 show the average QD latitudinal profiles of EEJ from Swarm
- 402 A (left column) and the simultaneous observations of altitudinal variation of zonal winds from
- 403 ICON/MIGHTI (middle). Each row is for a different category, with C1 to C3 from top to
- bottom. The average EEJ profile shows the largest eastward peak at the magnetic equator with 404 405 two dips in both hemispheres near $\sim 5^{\circ}$ QD latitude. The average zonal wind is westward in the
- 406 lower E region from ~ 80 to 110 km and then gets less-westward or eastward between ~ 110 to
- 130 km. Above ~130 km, the wind becomes more westward with altitude. 407
- 408 The magnitude of minimum sideband currents appears to be correlated to the gradient in zonal wind velocity estimated between 130 and 180 km. These altitudes are determined based on the 409
- fact that in general during the daytime at low latitude in the 130-180km region, the Pedersen 410
- 411 conductivity is important.
- To calculate the altitude gradient of the wind for each category, the zonal wind measurement 412 413 at each altitude between 130 and 180 km is selected randomly from the individual wind
- 414 measurements. Then the slope is estimated using the least-square fitting on the selected values.
- 415 This procedure of random selection and slope estimation is repeated 1,000,000 times and the
- mean is taken as the final gradient. The standard error $\left(\frac{\text{standard deviation}}{\sqrt{(number of samples)}}\right)$, which shows the variability of the mean of samples is estimated and shown in Figure 4(a, d, g, b, e, h) for the 416
- 417
- 418 currents and zonal winds. As listed in Table S1, I_{off} is -37.26 mA/m for a ΔU_h of -1.64 m/s/km
- 419 for category C1. For category C2, I_{off} is -15.42 mA/m, and the ΔU_h is -0.65 m/s/km. I_{off} is
- 420 further reduced to -0.44 mA/m while the ΔU_h is -0.37 m/s/km for category C3. This implies 421 that as the absolute gradient in zonal wind velocity decreases, the sideband current Ioff
- 422 decreases. This also indicates that readily available magnetic perturbation data could be used
- 423 to learn about low-latitude neutral wind height variation.
- The third column of Figure 4 illustrates the model results. I_{eq} values are ~117, 74, 48 mA/m 424
- 425 for the EEJ model and ~108, 65, 44 mA/m for Swarm A for C1, C2, and C3 respectively,
- matching well but tending to be slightly larger than the measurements. The *I*_{off} from Swarm 426
- 427 are -37.26, -15.42, -0.44 mA/m and from the model are -11, -5, and -3.87 mA/m for C1, C2,
- 428 C3, respectively. The $I_{\rm off}$ from Swarm is an average from both hemispheres while the model has a symmetric latitudinal variation. The gradient in zonal wind velocity, I_{off} and I_{eq} for the 429
- satellites and corresponding model results are summarized in Table S1.
- 430





436 **3.3 Grouping Data According to Wind Shear**

437 Next, we analysed the data by grouping it based on altitudinal gradient in zonal winds. The 438 data are categorized based on ΔU_h in 1m/s/km bins from -3 to 3 m/s/km, resulting in six categories. Figure 5 displays the individual zonal wind measurements from ICON and EEJ 439 440 from Swarm A for each category. The black line in each figure represents the corresponding 441 mean values. For category 1, I_{off} is -20.86 mA/m for a ΔU_h of -2.32 m/s/km. In category 2, I_{off} 442 is -18.25 mA/m with a ΔU_h -1.44 m/s/km. I_{off} is -15.04 mA/m while the ΔU_h is -0.52 m/s/km 443 for category 3. For the categories 4, 5, and 6, Ioff is -13.18 mA/m, -11.69 mA/m and -8.00 444 mA/m for a $\Delta U_{\rm h}$ of 0.39 m/s/km, 1.36 m/s/km and 2.12 m/s/km, respectively.

As the wind gradient becomes less negative or more positive, the depth of the sideband currents decreases. However, the dependence of I_{off} on ΔU_h appears much less in Figure 5 than one might expect from the relation between I_{off} and ΔU_h seen in Figure 4. Obviously, the manner in which the data are grouped has a strong effect on the derived relation between I_{off} and the wind shear. Nevertheless, the relation is positive in both cases, consistent with a real physical relation.



451

Figure 5: The first row is individual zonal winds from ICON/MIGHTI and second row is EEJ from Swarm A for grouping of data according to wind shear. The black lines in each of the plot show mean Swarm EEJ and ICON zonal winds.

455 **3.4 Linearity of Relation Between I_{off} and \Delta U_{h}**

Given the large amount of scatter of the data from individual conjunctions seen in Figure 3, it is not obvious that the data might exhibit a linear relation between I_{off} and ΔU_h , as the physical model would predict. In this section we examine the linearity of the average relation between group-averaged conjunction data.

460 Figure 6 shows the relation between I_{off} and ΔU_h for data and model, with data from the two groupings described in sections 3.2 and 3.3. The grouping of data based on Ioff is termed G1 461 462 and that based on ΔU_h is termed G2. The model was driven by G1 group-averaged 463 ICON/MIGHTI data. The different categories from the average conjunctions obtained from 464 Swarm A, B, C and ICON are combined and shown for G1 in orange (solid line) and G2 in 465 magenta (dashed line). The data for G1 was further categorized into five distinct bins with I_{off}: <=-30, -30 to -20, -20 to -13, -13 to -5, and >-5mA/m. This categorization assigns 5 averages 466 467 of conjunctions for each Swarm satellite A, B, and C, resulting in a total of 15 data points in Figure 6. The figure illustrates that the results for the conjunctions of Swarm B & C and ICON 468 are consistent with the findings using Swarm A & ICON conjunctions. Similarly, G2 also 469 470 shows the scatter plot of the mean ΔU_h and I_{off} for all six categories for Swarm A, B, and C 471 conjunctions with ICON.

472 The linear correlation coefficient between I_{off} and ΔU_h is 0.93 and 0.96 for the groups, G1 and 473 G2 respectively, meaning that on average ~86.5 - 92.2 % of the group-averaged I_{off} variation

among the Swarm satellites and among the different cases can be explained by the group-

475 averaged ΔU_h variation using a linear model. It should be noted here that these correlation

476 coefficients and percent explaining variability are only valid for the averaged data in each

477 category in the different groups, and do not necessarily apply to individual conjunctions. The

- 478 large linear correlation coefficients suggest that the relation between ΔU_h and I_{off} is indeed 479 approximately linear.
- 480 The physical model is compatible with the data, considering the differences between the G1
- and G2 analyses. The model shows a higher correlation between ΔU_h and I_{off} of 0.98 compared
- 482 to the observational results. The slope of the linear regression line is \sim 5.76 As/m for the model
- 483 (purple dotted line), whereas it is ~ 24 As/m and ~ 2.7 As/m for Swarm data based on the groups
- 484 G1 (orange line) and G2 (magenta dashed line). If the true relation between I_{off} and the wind

shear is linear, then the true slope probably lies between the slopes for the G1 and G2 analyses. We suggest two possible reasons for the fact that the magnitude of I_{off} for the physical model is usually smaller than the magnitude of I_{off} for the two lines representing data groupings. (1) As noted in Section 2, the procedure for removing the effects of Sq currents from the Swarm magnetic signal may tend to overestimate the strength of the sideband currents. (2) The winds and/or conductivities may have systematic variations with magnetic latitude that are not considered in the model and could increase the magnitude of I_{off} .

492



493

506

Figure 6: I_{off} over the ΔU_h for Swarm-ICON observations for different categories based on I_{off} (orange) and ΔU_h (magenta). The average EEJ sideband currents from Swarm A (cross symbol), B (circle symbol), and C (triangle symbol) in conjunction with ICON winds are shown for both groupings, G1 for those based on I_{off} and G2 for ΔU_h . The line represents the linear regression line and correlation coefficient, CC is also mentioned. Purple represents the same as magenta but from the model driven by G1 group-averaged ICON/MIGHTI data.

500 **4 Discussion**

501 To gain further insights we examine the importance of the neutral wind gradients at different 502 altitudes using numerical experiments and discuss the limitations of individual observational 503 conjunctions and the model results.

504 The daytime, low-latitude zonal ionospheric current density J_{ϕ} due to the electric field and 505 zonal winds in the 80 to 400 km altitude can be expressed as follows (Richmond 1973):

$$J_{\phi} = \sigma_P E_{\phi} + \sigma_P \left[\frac{\int_{s_1}^{s_2} \sigma_H ds}{\int_{s_1}^{s_2} \sigma_P ds} \right] E_{\phi} + \sigma_H B U_{\phi} - \sigma_H B \left[\frac{\int_{s_1}^{s_2} \sigma_P U_{\phi} ds}{\int_{s_1}^{s_2} \sigma_P ds} \right]$$
(2)

507 U_{ϕ} is the zonal wind, and E_{ϕ} is the eastward EEF. The integrals are along the magnetic field 508 lines from foot points s_1 to s_2 at the bottom of the ionosphere. Here σ_P , σ_H , and U_{ϕ} are strongly 509 height-dependent, while the magnitude of magnetic field |B| varies little within the dynamo 510 region. The first two terms on the right-hand side of equation (2) represent the current density 511 J_E due to electric field E_{ϕ} , and the last two terms are the zonal wind-driven current density J_W 512 which are the focus of this study. It is evident from the equation that the current contribution 513 due to wind is zero if the wind is a constant along the magnetic field line.

514 Further,
$$J_{\phi} = J_E + J_W$$
 (3)

515 Or
$$J_{\phi} = J_E + \sigma_H B \left(U_{\phi} - \overline{U_{\phi}} \right)$$
(4)

516 with
$$\overline{U_{\phi}} = \frac{\int_{s_1}^{s_2} \sigma_P U_{\phi} ds}{\int_{s_1}^{s_2} \sigma_P ds}$$
 (5)

517 being the field-line average zonal wind weighted by the Pedersen conductivity. By definition 518 $\overline{U_{\phi}}$ is constant along a field line. We can define a perturbation wind as

519
$$U'_{\phi} = U_{\phi} - \overline{U_{\phi}}$$
(6)

520 Then,

521

$$J_W = \sigma_H B U'_{\phi} \tag{7}$$

Therefore, only the zonal wind perturbation with respect to the field-line average Pedersen 522 523 conductivity weighted zonal wind matters in the determination of wind driven current density. 524 In the magnetic equatorial region, the westward zonal winds at altitudes where Pedersen 525 conductivity dominates (~130 km to 180 km) builds an upward polarization electric field to 526 balance the vertical/meridional Pedersen current. The equipotential nature of magnetic field lines leads to the mapping of this electric field to low-latitude E region and generates eastward 527 528 Hall currents (Fang et al., 2008). When these wind effects get superimposed on the effect of 529 the eastward EEF, we get a reduction in eastward current density at low-latitudes. These 530 reduced eastward currents become westward in nature once the background currents are 531 removed. Further, the height-integrated current density I considered for the analysis is 532 estimated by integrating *J* over all altitudes.

- 533 In Figure 7a, we take two example wind profiles $(U_{\phi 1} \text{ and } U_{\phi 2})$ which are simplified from the
- 534 ICON wind observations in C1 and split each into two altitude regions. Note that shifting the
- 535 x-axis to the left or right is irrelevant since a constant wind in altitude does not generate any J_w
- as noted above. The wind profiles used are (1) $U_{\phi 1}$ with positive eastward wind gradient in the 536
- 537 Hall region (~106 – 120 km), and (2), $U_{\phi 2}$ with negative eastward wind gradient in Pedersen region (~120 - 200 km). Both wind profiles have zero winds at altitudes other than the 538 539 mentioned regions. The altitudinal variation of Hall and Pedersen conductivity is depicted in 540 Figure 7d for reference. σ_H is dominant below 130 km while σ_P is dominant above.
- 541 Figure 7a also illustrates the field line averaged Pedersen conductivity weighted zonal winds
- (Equation 5), $\overline{U_{\phi}}$ over apex altitude h_a (equivalent to different field lines) using dashed lines. 542 The perturbation winds $U'_{\phi 1}$ and $U'_{\phi 2}$ (Equation 6) are given in Fig 3b and 3c over altitude at 543 544 particular QD latitudes for case 1 and 2, respectively.
- For the wind profile $U_{\phi 1}$ (Figure 7a red solid curve), $U'_{\phi 1}$ at the equator (black dashed curve 545 in Figure 7b) is positive below 120 km and negative in the 120 to 150 km range. This leads to 546
- a slightly positive or eastward I_W at the equator (Red curve in Figure 7e) since $U'_{\phi 1}$ is weighted 547
- by Hall conductivity. Even though sideband currents are generated by the neutral wind, these 548
- are too equatorward and not visible once I_E is added to I_W (Figure 7f). For increasing QD 549
- latitude, $U'_{\phi 1}$ is negative below 110 km and strongly positive in the 110 to 120 km altitudinal 550
- range, and almost constant negative above 120 km. The altitude integration of $U'_{\phi 1}$ weighted 551
- by Hall conductivity increases from QD latitude 3° to approximately 8°. Poleward of 8°, J_W 552 553 becomes latitudinally independent.
- For the wind profile $U_{\phi 2}$ (Figure 7a blue solid curve), $U'_{\phi 2}$ at QD latitude 0 (black dashed 554 555 curve in Figure 7c) is zero below 120 km and positive in the 120 to 150 km range. Hence,
- 556 eastward winds will produce eastward Hall currents at the equator. Increasing in QD latitude
- 557 $U'_{\phi 2}$ are westward below 120 km and eastward in the 120 to 150 km altitudes. The dip seen in
- I_W (Figure 7e blue curve) at ~3.8° is generated by a minimum combining the Hall conductivity 558 weighted westward $U'_{\phi 2}$ wind below 120 km with the Hall conductivity weighted eastward 559
- wind above 120 km (see the Figure 7c: 3,4, and 5° latitude). Poleward of QD latitude ~7°, $U'_{\phi 2}$ 560
- is not changing since the apex altitude is large (above ~200 km), leading to a constant westward 561
- 562 $I_{\rm W}$ (Figure 7e, blue curve). Adding $I_{\rm W}$ to $I_{\rm E}$ the dips are still visible since they occur sufficiently
- 563 far from the magnetic equator (Figure 7f).
- In summary, the dips are prevalent if the winds are becoming more westward or less eastward 564
- with increasing height in the Pedersen region, generating sideband current away from the 565
- 566 equator, which confirms the observational results in Figures 4,5, and 6.



567

Figure 7: (a) Zonal winds $(U_{\phi_1} \& U_{\phi_2})$ with respect to altitude (h) and the average winds $(\overline{U_{\phi_1}}(h_a) \& \overline{U_{\phi_2}}(h_a))$ at magnetic equator with respect to apex altitude (h_a) . (b & c) altitudinal profile of the wind perturbations $(U'_{\phi_1} \& U'_{\phi_2})$ at magnetic latitudes 0, 3,4,5,8, & 9 deg. for the illustrative cases 1 and 2, respectively (d) Hall (σ_H) and Pedersen (σ_P) conductivities, (e) and (f) are height-integrated current density due to wind (I_W) alone, and both wind and electric field $(I_W + I_E)$. Here red and blue color indicates the current densities for the illustrative cases 1 and 2, respectively.

575 **5** Conclusions

576 The observations of Swarm and ICON conjunctions are used to examine the predictions of F76 577 and understand the effect of vertical shears of the zonal wind on low-latitude currents. The 578 following summarizes the results from this study:

- 579a. This is the first time observational evidence has been presented connecting the zonal580wind shears with the low-latitude sideband currents. Although data for individual581conjunctions of Swarm and ICON show considerable scatter, a consistent positive582relation between the wind shear in the 130-180 km height range and the sideband583current strength is found when the data are averaged in bins grouped by either of these584two parameters.
- b. The grouped and averaged data are correlated in a manner consistent with a linear relation between the wind shear and the sideband current, as is predicted by the physical model. The observations indicate that a persistent zonal wind altitude gradient in the Pedersen conductivity region of ~-1 m/s/km can generate ~-2.68 mA/m to -24 mA/m sideband current.
- c. The study adds to the understanding of the effect of local winds on the low-latitude
 current. For individual conjunctions, a linear model can explain ~9.61% of the sideband
 current strength in terms of the wind gradient. When the data are grouped according to
 wind shear and bin-averaged, ~86.5 92.2% of the sideband current variation (across
 Swarm satellites and across categories) can be explained by the average vertical
 gradient in the zonal wind.

d. Both the altitudinal gradient in zonal winds and the altitude at which the gradient is
present control the sideband currents. Depending on the altitude at which the vertical
shear in zonal winds is present, the sideband currents may or may not be present.

e. The physical EEJ model aligns quantitatively with the observations. It predicts a linear relation between the sideband current strength and the wind shear, with a ratio lying between the slopes of the regression lines obtained from the averaged data that are grouped either in terms of sideband current strength or wind shear. The model sideband currents are weaker (less negative) than those estimated from the Swarm observations. This difference could be due to biases in the estimation of sideband current strength from the Swarm magnetometer data, as well as simplifications in the model.

606 6 Open Research

607 The Swarm Level 2 product EEF (Alken et al., 2013b), including the equatorial electrojet 608 intensity used in this study, can be downloaded at http://swarm-diss.eo.esa.int. The 609 ICON/MIGHTI Level 2.2 product Cardinal Vector Winds (Version 4) (Harding et al., 2023) is accessible from the ICON website https://icon.ssl.berkeley.edu/Data 610 and SPDF 611 (https://spdf.gsfc.nasa.gov/data orbits.html). The geomagnetic activity index Kp (Matzka et al., 2021) was provided by the GFZ German Research Centre for Geosciences 612 613 (https://www.gfz-potsdam.de/en/section/geomagnetism/data-products-services/geomagnetickp-index). The F10.7 index (Tapping, 2013) can be downloaded from the SPDF OMNI- Web 614 615 database https://ccmc.gsfc.nasa.gov/requests/GetInput/get indices.php. EEJ model results can be accessed without any restriction via the zenodo general repository at Sreelakshmi et al., 616

617 (2023).

618 **7** Acknowledgment

We acknowledge the review provided by two anonymous reviewers, which contributed to the 619 620 improvement of manuscript. JS and GV are supported by the Department of Science and Technology, Government of India. JS is also supported by NCAR/HAO Newkirk Graduate 621 622 Research Fellowship. ICON is supported by NASA's Explorers Program through contracts NNG12FA45C and NNG12FA42I. AM is supported by ICON explorer NASA award 623 80NSSC221K1990. AM and PA are supported by USPI NASA award 80NSSC21K0449, 624 625 Electrojet Zeeman Imaging Explorer (EZIE). This research was supported in part NOAA 626 cooperative agreement NA22OAR4320151. The European Space Agency (ESA) is gratefully 627 acknowledged for providing Swarm data. This material is based upon work supported by the 628 National Center for Atmospheric Research, which is a major facility sponsored by the National Science Foundation under Cooperative Agreement No. 1852977. We would like to 629 acknowledge the use of computational resources (doi:10.5065/D6RX99HX) at the NCAR-630 631 Wyoming Supercomputing Center provided by the National Science Foundation and the State 632 of Wyoming, and supported by NCAR's Computational and Information Systems Laboratory.

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